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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): McDonald, et al.			Docket No. 2003B133F
Application No. 10/539,015	Filing Date June 16, 2005	Examiner Fred M. Teskin	Group Art Unit 1713
Invention: Polymerization Processes			
<p>I hereby certify that this <u>IDS, Form 1449 and 1 Reference (6 pgs.)</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>571-273-8300</u>) on <u>February 27, 2007</u> (Date)</p> <p><u>Tracy N. Keyes</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>Tracy N. Keyes</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

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P. 02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2003B133F</b>
<b>In Re Application of: McDonald et al.</b>			
<b>U.S. Serial No. 10/539,015</b>	<b>Filing Date June 16, 2005</b>	<b>Examiner Fred M. Teskin</b>	<b>Group Art Unit 1713</b>

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of references are enclosed where necessary.

☐ This application is a:

- ☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are ☐ enclosed; ☐ not enclosed.  
References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

2/27/2007  
Date

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FORM - 1449

SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.		SERIAL NO.	
					2003B133F		10/539,015	
					APPLICANT			
					McDonald et al.			
					FILING DATE		GROUP	
					June 16, 2005		1713	
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME				
		2006/0100398	05/11/2006	Shaffer et al.				
		2006/0094847	05/04/2006	Milner et al.				
		2005/0107536	05/19/2005	Shaffer, et al.				
		2005/0101751	05/12/2005	Shaffer, et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
		Krzysztof Matyjaszewski, Cationic Polymerization (1996)						
EXAMINER					DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant								

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